

Article Epsilon-Near-Zero Absorber by Tamm Plasmon Polariton

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- Abstract: Two schemes of excitation of a Tamm plasmon polariton localized at the interface between a
- ² photonic crystal and a nanocomposite with the near-zero effective permittivity have been investigated
- ³ in the framework of the temporal coupled-mode theory. The parameters of the structure have been
- 4 determined that correspond to the critical coupling of the incident field with a Tamm plasmon
- ⁵ polariton and, consequently, ensure the total absorption of the incident radiation by the structure.
- ⁶ It has been established that the spectral width of the absorption line depends on the scheme of
- 7 Tamm plasmon polariton excitation and parameters of a nanocomposite film. The features of field
- localization at the Tamm plasmon polariton frequency for different excitation schemes have been
- examined. It has been demonstrated that such media can be used as narrowband absorbers based on
- ¹⁰ Tamm plasmon polaritons localized at the interface between a photonic crystal and a nanocomposite
- ¹¹ with the near-zero effective permittivity.
- ¹² Keywords: photonic crystal; nanocomposite; epsilon near zero material; Tamm plasmon polariton

13 1. Introduction

Tamm plasmon polaritons (TPPs) are a special type of the electromagnetic surface states, in which 14 the field decays exponentially on each side of the surface [1] and the energy transfer along the surface 15 can be stopped. This state can be experimentally observed as a narrow [2,3] or broadband [4] resonance 16 in the optical transmission or reflection spectrum of a sample at wavelengths inside the band gap 17 of a photonic crystal (PhC). Among the proposed and implemented TPP applications are organic 18 solar cells [5], absorbers [6], lasers [7], sensors [8], integrated optical networks [9], heat emitters [10], 19 and spontaneous emission amplifiers [11]. The high degree of field localization at the TPP frequency 20 makes it possible to enhance second-harmonic generation [12] and implement the extremely high 21 light transmittance through a subwavelength hole [13]. The TPPs and devices based on them are 22 designed, as a rule, using a planar metallic film coupled with a PhC. The potentialities of optimizing 23 the optical properties of such structures by means of the variation in the film parameters are exhausted 24 by choosing a material and thickness of this film. New opportunities are offered by metasurfaces and 25 metal-dielectric nanocomposites (NCs), i.e., artificial media structured in a special way, used as film 26 materials [14]. A nanocomposite is a dielectric matrix with metallic particles uniformly distributed over its volume, which is characterized by the resonant effective permittivity. The optical properties of 28 initial materials have no resonant features [15–17]. The position of a resonance in the visible spectral 29 range, as well as the frequency band where the NC behaves like a metal, are determined by the effective 30 permittivity. The latter, in turn, depends on the permittivities of initial materials and concentration, 31 shape, orientation, and size of metallic particles. In particular, the authors of study [18] demonstrated first the possibility of forming a localized mode at the interface between a PhC and a NC representing 33 a transparent matrix with uniformly dispersed silver nanoparticles. The TPP formation at the interface 34

- ³⁵ between a PhC bound by a strongly anisotropic NC layer was studied in [19]. The authors of study
- ³⁶ [20] proposed a mechanism for forming two TPPs at the interface between a superlattice and an NC
- ³⁷ consisting of spherical nanoparticles with a dielectric core and a metal shell. The possibility of forming
- ³⁸ a TPP by using porous and gyroidal plasmon materials was discussed in studies [21,22]. It is worth
- ³⁹ noting that metal-dielectric NCs can serve as materials with the near-zero effective permittivity. In
- recent years, such materials have been in focus of researchers [23] due to the possibility of controlling
- the wave front shape [24], amplifying the light transmission through a subwavelength aperture [25],
- and enhancing the nonlinear effects [26,27]. As was shown in [28], such materials can be used for
 forming the TPPs. The authors established the dissipative principle of the formation of a localized
- ⁴⁴ mode, in which the TPP can form not only at small negative and positive values of the real part of the
- ⁴⁵ permittivity, but also at its zero value. In this work, we show the possibility of designing narrowband
- absorbers based on the TPPs localized at the interface between a PhC and an NC with the near-zero
- ⁴⁷ permittivity. Using the temporal coupled-mode theory, we compare two TPP excitation schemes and
- ⁴⁸ predict spectral properties of the localized modes. We demonstrate that the analytical results agree
- well with the numerical calculation.

50 2. Model Description

⁵¹ We consider a PhC structure, which represents a layered medium bound by a finite NC layer

⁵² (Fig. 1). The PhC unit cell is formed from materials a and b with respective layer thicknesses d_a and d_b

and permittivities ε_a and ε_b . The nanocomposite layer with thickness d_{eff} and permittivity ε_{eff} consists of metal nanospheres uniformly distributed in a transparent matrix made of optical glass. Then, we



Figure 1. Schematic of a one-dimensional PhC coupled with an NC layer with the near-zero permittivity

assume the PhC to be placed in vacuum.

⁵⁶ Maxwell's equations are reduced to the Helmholtz equation. For a *p*-polarized wave propagating

in the xz plane of a layered medium of isotropic materials stacked along the z direction, we have

$$\begin{bmatrix} \frac{d^2}{dz^2} + \left(\frac{\varepsilon\omega^2}{c^2} - k_x^2\right) \end{bmatrix} E_x(z) = 0,$$

$$H_y(z) = \frac{-i\omega}{c} \frac{\varepsilon dE_x/dz}{c(\varepsilon\omega^2/c^2 - k_x^2)},$$
(1)

where $k_x = n(\omega/c)$ is the tangential wavenumber along the *x* axis, *c* is the speed of light, and ω is the frequency. The effective permittivity of the NC can be described by the Maxwell–Garnet formula

$$\varepsilon_{eff} = \varepsilon_d \left[1 + \frac{f\left(\varepsilon_m(\omega) - \varepsilon_d\right)}{\varepsilon_d + (1 - f)\left(\varepsilon_m(\omega) - \varepsilon_d\right) 1/3} \right],\tag{2}$$

where f – is the filling factor, i.e., the volume fraction of nanoparticles in the matrix; ε_d and $\varepsilon_m(\omega)$ – are the permittivities of the matrix and nanoparticle metal, respectively; and ω – is the radiation frequency. We determine the permittivity of the nanoparticle metal using the Drude approximation

$$\varepsilon_m(\omega) = \varepsilon_0 - \frac{\omega_p^2}{\omega^2 + i\omega\gamma}.$$
(3)

For certainty, we assume alternating PhC layer materials to be silicon dioxide (SiO₂) with a permittivity of $\varepsilon_a = 2.10$ and zirconium dioxide (ZrO₂) with a permittivity of $\varepsilon_b = 4.16$. The respective layer thicknesses are $d_a = 74$ nm and $d_b = 50$ nm. The Drude parameters of silver are $\varepsilon_0 = 5$, $\omega_p = 9$ eV, and $\gamma = 0.02$ eV [29,30]; for glass, we have $\varepsilon_d = 2.56$.

⁶² As an example, Fig. 2 shows the *Re* ε_{eff} and *Im* ε_{eff} dependences calculated using formula (2) with regard to Eq. (3) at f = 0.11. It can be seen that in the range of $[\lambda_1, \lambda_0]$ we have *Re* $\varepsilon_{eff} < 0$; i.e., the



Figure 2. Dependences of the real *Re* ε_{eff} (purple line) and imaginary *Im* ε_{eff} (green line) parts of the effective permittivity ε_{eff} on the incident light wavelength. The filling factor is f = 0.11.

⁶³ NC behaves like a metal. At wavelengths of $\lambda_0 = 458.4$ nm and $\lambda_1 = 407.2$ nm, the real part of the ⁶⁵ effective permittivity takes the zero value. In this case, $\lambda_0 = 458.4$ nm is the resonant wavelength, at ⁶⁶ which the *Im* ε_{eff} value is maximum; therefore, the localized modes cannot form in the vicinity of this

⁶⁷ point. In view of the aforesaid, in this study we investigate the TPPs in the vicinity of the point λ_1 .

3. Temporal Coupled-Mode Theory

The optical properties of the TPP can be described using the temporal coupled-mode theory [31– 34]. This theory is grounded on the fact that any mode (resonance) can be characterized by eigenfrequency ω_0 and number N of the ports through which the energy passes into this mode and leaks from it. The energy loss in the channels is described by the relaxation times τ_l , l = 1...N and the mode is described by the complex amplitude A related to the amplitudes $s_{l\pm}$ of the incoming and outgoing energy flows. These quantities are described by the ordinary differential equation

$$\frac{dA}{dt} = -i\omega_0 A - \sum_{i=1}^2 \frac{A}{\tau} + \sum_{i=1}^2 \sqrt{\frac{2}{\tau_l}} s_{l+i},\tag{4}$$

The relation between the flow amplitudes is

$$s_{l-} = -s_{l+} + \sqrt{\frac{2}{\tau_l}}A.$$
 (5)

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If the resonance is excited through one port with $s_{l+} = -s_{l+}e^{-i\omega t}$, the resonance amplitude can be expressed as

$$A_{l}(\omega) = \frac{\sqrt{\frac{2}{\tau_{l}}}}{i(\omega - \omega_{0}) + \sum_{l=1}^{N} \frac{1}{\tau_{l}}} s_{l+}.$$
(6)

The amplitudes of reflection from port l to port l' form the scattering matrix

$$r_{ll'} = \frac{s_{l'-}}{s_{l+}} = -\hat{\delta}_{ll'} + \frac{\sqrt{\frac{2}{\tau_l}}\sqrt{\frac{2}{\tau_l'}}}{i(\omega - \omega_0) + \sum_{l''=1}^N \frac{1}{\tau_l''}},$$
(7)

- ⁷⁸ where $\hat{\delta}_{ll'}$ is the Kronecker symbol.
- ⁷⁹ These reflectances and transmittances correspond to the spectral peaks in the form of Lorentz
- so contours with the full width at half maximum

$$2\gamma = \sum_{l=1}^{N} \frac{1}{\tau_l}.$$
(8)

For one channel, we have l = l'. In this case, the reflection amplitude can be written as

$$r_l = -1 + \frac{2\gamma_l}{i(\omega_0 - \omega) + \sum \gamma_{l'}}.$$
(9)

The zero reflection is only possible under the critical coupling conditions, i.e., at $\omega = \omega_0$:

$$r_l(\omega = \omega_0) = 0. \tag{10}$$

This is sufficient to describe the spectral properties of the TPPs using the temporal coupled-mode theory.

4. Study of the Spectral Properties of the TPP Using the Temporal Coupled-Mode Theory

The TPP formation is contributed by three energy channels, each characterized by the amplitude relaxation rate γ , which is the ratio between the power of energy relaxation to a channel and the energy accumulated in the TPP. We denote the rates of energy relaxation to the NC transmission and absorption channels and PhC transmission channel as γ_{NC} , γ_A , and γ_{PhC} , respectively. Since the energy accumulated in the TPP is the same for determining the rate of relaxation to each channel, the relaxation rates and corresponding energy coefficients of the structure are expressed by the proportion [34]:

$$\gamma_{NC}: \gamma_A: \gamma_{PhC} = T_{NC}: A_{NC}: T_{PhC}. \tag{11}$$

We study the conditions of the critical coupling between the TPP and incident field for two excitation schemes.

If one of the mirrors is opaque, we may ignore one of the energy relaxation channels. Then, critical coupling condition (10) for the scheme of excitation through the NC layer (Fig. 3a) can be written in the form

$$\gamma_{NC} = \gamma_A; \gamma_{PhC} = 0 \Leftrightarrow T_{NC} = A_{NC}; T_{PhC} = 0, \tag{12}$$

and, for the scheme of excitation through the PhC (Fig. 3b), in the form

$$\gamma_{PhC} = \gamma_A; \gamma_{NC} = 0 \Leftrightarrow T_{PhC} = A_{NC}; T_{NC} = 0.$$
(13)



Figure 3. Schematic of the TPP excitation from the side of (a) an NC film and (b) a PhC.

Critical coupling equation (12) can be solved graphically. To do that, we should plot together 95 the dependences of the transmittances and absorptances of the NC film on its thickness and incident 96 radiation wavelength. The intersection of the surfaces corresponds to the equality of the coefficients 97 and critical coupling. The energy coefficients for the NC layer can, in turn, be determined using the 98 Airy formula derived for the metallic layer [35]. For this purpose, we consider a plane-parallel NC 99 film with the refractive index $n_2 = n'_2 + in''_2$ placed between two dielectric media with the refractive 100 indices $n_1 = n_{SiO_2}$ and $n_3 = 1$. The transmittances, reflectances, and absorptances of the NC film will 101 be determined as 102

$$T_{NC} = \frac{n_3}{n_1} \left| \frac{t_{12} + t_{23} e^{i\beta}}{1 + r_{12} r_{23} e^{2i\beta}} \right|^2, \quad R_{NC} = \left| \frac{r_{12} + r_{23} e^{2i\beta}}{1 + r_{12} r_{23} e^{2i\beta}} \right|^2, \\ A_{NC} = 1 - T_{NC} - R_{NC}; \tag{14}$$

where $\beta = 2\pi n_2 d_{eff}/\lambda_0$ is the phase incoming when the wave passes through the layer, λ_0 is the wavelength, d_{eff} is the layer thickness, and $t_{12} = 2n_1/(n_1 + n_2)$, $r_{12} = (n_1 - n_2)/(n_1 + n_2)$, and $t_{23} = 2n_2/(n_2 + n_3)$, $r_{23} = (n_2 - n_3)/(n_2 + n_3)$ are the transmission and reflection amplitudes at the 1-2 and 2-3 interfaces, respectively.

¹⁰⁷ The NC film spectra calculated using formula (14) are shown in Fig. 4.



Figure 4. (a) Transmission and (b) absorption spectra of the NC film at different film thicknesses and incident radiation wavelengths. The filling factor is f = 0.11.

The critical coupling is presented by the line of intersection of these surfaces. According to the numerical calculation, condition (12) in the wavelength ranges of ($407 < \lambda < 408$ nm), where the NC effective permittivity is near-zero, is satisfied at NC film thicknesses of about 200 nm. Thus, coupling

6 of 11

of a PhC with the NC film of such a thickness will lead to the formation of the TPP, at the wavelength of which the entire radiation incident onto the structure will be absorbed.

The graphic solution of the critical coupling conditions for two TPP excitation schemes is illustrated in Fig. 5.



Figure 5. Dependence of absorptance of the NC film on its transmittance at different film thicknesses (blue line) and dependence of the absorptance of an opaque NC film on the PhC transmittance at different numbers of PhC periods (red line). The point of intersection between the black and blue lines corresponds to critical coupling condition (12) and the point of intersection between the black and red lines corresponds to condition (13). The wavelength is $\lambda = 407.1$ nm.

In this case, the dependence of the PhC transmittance on the number of periods *N* was determined

$$T_{PhC} = 1 - |r_N|^2, (15)$$

where r_N is the amplitude of reflection from the multilayer structure [36] :

as

$$r_N = \frac{CU_{N-1}}{AU_{N-1} - U_{N-2}},\tag{16}$$

 $U_N = \sin [(N+1)K\Lambda] / \sin[K\Lambda]$ and $K = 1/\Lambda \arccos [(A+D)/2]$ is the Bloch wavenumber, and N is the number of periods. *A*,*B*,*C*, and *D* are the elements of the 2×2 transfer matrix, which relates the plane wave amplitudes in layer 1 of the unit cell to the analogous amplitudes for an equivalent layer in the next PhC unit cell.

$$A = e^{ik_{1z}d_{a}} \left[\cos k_{2z}d_{b} + \frac{1}{2}i\left(\frac{k_{2z}}{k_{1z}} + \frac{k_{1z}}{k_{2z}}\right)\sin k_{2z}d_{b} \right],$$

$$B = e^{-ik_{1z}d_{a}} \left[\frac{1}{2}i\left(\frac{k_{2z}}{k_{1z}} - \frac{k_{1z}}{k_{2z}}\right)\sin k_{2z}d_{b} \right],$$

$$C = e^{ik_{1z}d_{a}} \left[-\frac{1}{2}i\left(\frac{k_{2z}}{k_{1z}} - \frac{k_{1z}}{k_{2z}}\right)\sin k_{2z}d_{b} \right],$$

$$D = e^{-ik_{1z}d_{a}} \left[\cos k_{2z}d_{b} - \frac{1}{2}i\left(\frac{k_{2z}}{k_{1z}} + \frac{k_{1z}}{k_{2z}}\right)\sin k_{2z}d_{b} \right],$$

(17)

where $k_{1z} = (\omega/c) \sqrt{\varepsilon_a}$ and $k_{2z} = (\omega/c) \sqrt{\varepsilon_b}$ are the wave vectors of the first and second layers. 115 It can be seen from the figures that in the scheme of TPP excitation through the NC, the critical 116 coupling conditions are established at lower transmittances and absorptances, i.e., at lower energy 117 relaxation rates, the sum of which determines the resonance spectral line width. Thus, the scheme 118 of TPP excitation through the NC is more attractive, since under the critical coupling conditions, the 119 resonance line and, consequently, absorption band is narrower. This comparison is contrary to the 120 comparison of IR radiation TPP linewidths experimentally observed in [34]. This can be explained 121 through the difference between metal dispersion laws for visible and IR radiations. 122

123 5. Numerical Calculation

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To numerically compare the two excitation schemes, we calculate the transmittance spectra of the structures using the transfer matrix method [37]. The results of the calculation are presented in Fig. 6. It can be seen in Fig.6a that the critical coupling of the TPP with the incident field upon excitation



Figure 6. (a) NC-PhC and (b) PhC-NC reflectance spectra of the structure at different NC layer thicknesses d_{eff} and a constant filling factor of f = 0.11. The green dashed line shows the reflectance spectra of the structures under critical coupling conditions.

- through the NC is obtained at an NC layer thickness of $d_{eff} = 201$ nm, which is consistent with the
- above-described theory. In addition, the calculation showed that upon TPP excitation from the PhC
- side, the critical coupling is obtained at a number of PhC periods of N = 3. In this case, the spectral line
- ¹³⁰ for the first excitation scheme appears narrower, which is in good agreement with the data reported in the previous section. The energy spectra of the structure for the two excitation schemes under the



Figure 7. Transmittance (red line), reflectance (blue line), and absorptance (black line) spectra of the structure under the critical coupling conditions upon TPP excitation from (a) the NC and (b) PhC side. The NC layer thickness and number of PhC periods are $d_{eff} = 201$ nm, N = 25 and $d_{eff} = 700$ nm, N = 3, respectively.

¹³² critical coupling conditions are shown in Fig. 7.

¹³³ Under the TPP excitation from the NC side, the maximum absorptance is observed at a wavelength

of $\lambda = 407.1$ nm and under the excitation from the PhC side, at a wavelength of $\lambda = 406.7$ nm.



Figure 8. TPP in the region of positive ε_{eff} . Spatial distribution of the refractive index of the structure (green line) and local field intensity at the TPP wavelength (red line) for the TPP excitation from (a) the NC and (b) PhC side.

The effective permittivity at these wavelengths takes the values $\varepsilon_{eff} = 0.0094 + 0.0858i$ and $\varepsilon_{eff} = 0.0348 + 0.0843i$, respectively.

The spatial distributions of the local field intensity at the TPP wavelengths are presented in Fig. 8.

In both cases, the field is localized at the interface between the media in the region comparablewith the wavelength. The localization value for the scheme of excitation through the NC is higher than

that for the scheme of excitation through the PhC by a factor of 1.5.



Figure 9. (a) TPP in the metal-like NC region $\varepsilon_{eff} < 0$. Transmittance (black line), reflectance (blue line), and absorptance (red line) spectra of the structure under the critical coupling conditions for the scheme of TPP excitation from the PhC side at a thickness of $d_{first} = 78$ nm of the layer adjacent to the PhC and (b) spatial distribution of the local field intensity at the TPP wavelength.

It should be noted that under excitation from the PhC side, at the TPP wavelength (Fig. 7b) 2.5% of the radiation falling onto the structure is not absorbed, but passes through the NC layer. This is

related to the TPP formation in the range of small positive epsilon values, where the NC plays the roleof a dissipative dielectric [38].

The zero transmission can be obtained by changing the TPP wavelength via changing the thickness of the PhC layer adjacent to the NC. As a result, the localized mode wavelength appears in the metal-like NC region and the transmittance decreases (Fig. 9).

The local field intensity at the TPP wavelength normalized to the input intensity is shown in in Fig.9b. Here, the field localization is higher than in the case shown in Fig.8a by 20%. This confirms the fact that the critical coupling of the incident field with the TPP is obtained. Comparing with Fig.8b, we can see in Fig.9b that the skin layer of the metal-like NC is thinner and about 10⁻⁶ of the incident radiation is transmitted at the TPP wavelength.

154 6. Conclusions

Thus, we examined the optical properties of TPPs localized at the interface between a PhC and 155 an NC with the near-zero permittivity. We studied two TPP excitation schemes: through a PhC and 156 through an NC layer. Using the temporal coupled-mode theory, we determined the parameters of the 157 structure corresponding to the critical coupling of the incident field with the TPP. It was established 158 that under this condition, the entire radiation falling onto the structure is absorbed by it. It was demonstrated analytically and numerically that the scheme of TPP excitation through the NC is more attractive, since under the critical coupling conditions the resonance line and, consequently, the 161 absorption band is narrower. It was established that to obtain the 100% absorption of the incident 162 radiation upon TPP excitation through the PhC, it is necessary to change the thickness of the first PhC 163 coupled to the NC. This ensures the required phase shift of the wave and TPP localization in the region 164 with the negative epsilon values. The proposed model can be used in designing narrowband absorbers 165 based on Tamm plasmon polaritons localized in resonant PhC structures. 166

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 helped with software and methods, S.Y.V. supervised the whole study and finalized the manuscript.

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196

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